



## UNITED STATES PATENT AND TRADEMARK OFFICE

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UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/758,963	01/10/2001	Junji Yoshida	6635-60093

CONFIRMATION NO. 9664

## FORMALITIES LETTER



\*OC000000005928633\*

COUDERT BROTHERS  
Suite 3300  
4 Embarcadero Center  
San Francisco, CA 94111

Date Mailed: 04/03/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

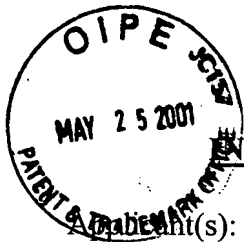
- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$1816.
  - \$936 for 52 total claims over 20.
  - \$880 for 11 independent claims over 3.
- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2656.**

*A copy of this notice MUST be returned with the reply.*

Customer Service Center  
Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

05/29/2001 MAR011 00000041 09758963  
01 FC:101 710.00  
02 FC:102 880.00  
03 FC:103 936.00  
04 FC:105 130.00



**THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s): Junji Yoshida, et al.

Serial No.: 09/758,963

Filed: January 10, 2001

For: SEMICONDUCTOR,  
LASER APPARATUS AND  
FABRICATION METHOD  
OF SAME, AND  
SEMICONDUCTOR  
LASER MODULE

Group Art Unit: 2881

Examiner: Not yet assigned

Attorney Docket: 07742-06 (6635-60093)

**CERTIFICATE OF  
MAILING/TRANSMISSION  
(37 C.F.R. § 1.8(a))**

I hereby certify that this correspondence is, on the date shown below, being deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to BOX MISSING PARTS, Assistant Director for Patents, Washington, D.C. 20231.

May 22, 2001  
Date

Julie Cox  
Julie Cox

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

**BOX MISSING PARTS**

Assistant Director for Patents  
Washington, D.C. 20231

Sir:

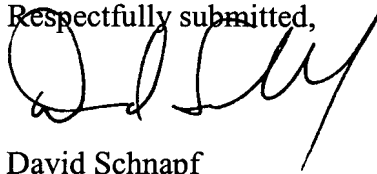
Pursuant to the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed April 3, 2001 and relating to completion of the above-identified application, transmitted herewith are:

1. A copy of the Notice to File Missing Parts of Non-provisional Application Filing Date Granted, mailed April 3, 2001;
2. The Declaration for Patent Application signed by the inventors pursuant to 37 C.F.R. § 1.63;

3. Transmittal Letter of Priority Document (one page).
4. Certified copy of the Priority Document 2000-378103.
5. A check in the amount of \$2,656.00 to cover the filing fee and surcharge in accordance with 37 C.F.R. § 1.16(e) for timely filing of missing parts of the application.

Except for the issue fee, which will be separately authorized, the Commissioner is hereby authorized to charge any additional fees which may be required in this application under 37 C.F.R. §§1.16-1.17 during its entire pendency, or credit any overpayment, to Deposit Account No. 13-0201. This transmittal is submitted in duplicate.

Respectfully submitted,



David Schnapf  
Registration No. 31,566

Date: 5/22/01  
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